SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL									
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0.5

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1. Name and Address of Reporting Person <sup>*</sup> Shabbir Anik				2. Issuer Name and Ticker or Trading Symbol SUTRO BIOPHARMA, INC. [STRO]							ionship of Reporting F all applicable) Director	Person(s) to Issue		
(Last) C/O SUTRO BIO	(First) PHARMA, INC	(Middle)	3. Date o 01/27/2	of Earliest Transaction	on (Montl	h/Day/	Year)			X	Officer (give title below)		(specify	
111 OYSTER PO	INT BLVD.	4. If Ame	4. If Amendment, Date of Original Filed (Month/Day/Year)					6.1	6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street)										Х	Form filed by One F Form filed by More			
SOUTH SAN FRANCISCO	СА	94080												
(City)	(State)	(Zip)												
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned														
Da		2. Transaction Date (Month/Day/Year)	Execution Date, Transaction		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 ar			,	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
					Code	v	Amount	(A) or (D)	Price		(Instr. 3 and 4)		l' í	

Common Stock Common Stock

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

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F

4,500

1,835(1)

A

D

\$0.00

\$7.5

32,259

30,424

D

D

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Ir 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative	9. Number of derivative Securities Beneficially Owned Following Reported	Form: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Restricted Stock Unit (RSU)	(2)	01/27/2023		М			4,500	(3)	01/29/2024	Common Stock	4,500	\$0.00	4,500	D	

Explanation of Responses:

1. Represents the number of shares of Common Stock that have been withheld by the issuer to satisfy its income tax withholding and remittance obligations in connection with the net settlement of the RSUs and does not represent a sale by the reporting person.

2. Each RSU represents a contingent right to receive one (1) share of the issuer's Common Stock upon settlement.

3. The RSUs vest as to 1/4th of the total shares annually beginning on January 29, 2021, subject to continued service through each vesting date.

01/27/2023

01/27/2023

Remarks:

/s/ Edward C. Albini as attorney-01/31/2023 in-fact for Shabbir Anik Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.